

**Memorandum  
Public Works Department**



**To:** City Manager  
**Subject:** Rock Island Pump Station Tuck Pointing, Project 2767  
**Date:** November 16, 2016  
**Number:** 2016-224

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Otto Baum Company, Inc. is due payment #4 for the Rock Island Pump Station Tuck Pointing, Project 2767 for services provided through September 30, 2016.

**Recommendation**

The Public Works Department recommends that the City Council approve the payment to Otto Baum Company, Inc., Morton IL., in the amount of \$40,866.53.

**Vendor:** Otto Baum Company, Inc., Morton IL.  
**Payment Amount:** \$40,866.53

<b>Fund:</b>	501	Water Operation and Maintenance
<b>Department:</b>	618	Utility Services
<b>Cost Center:</b>	352	Water Treatment Plant
<b>Object Code:</b>	53806	Infrastructure Maintenance
<b>Project:</b>	2767	Rock Island Pump Station Tuck Pointing

**Purchase Order:** P005159  
**Requisition:** R006351

**Submitted by:** Larry Cook, Interim Public Works Director  
Michael J. Kane, P.E., City Engineer

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**Approved by:** Randall D. Tweet, Interim City Manager

# APPLICATION AND CERTIFICATE FOR PAYMENT

Inv: 152201304 AIA DOCUMENT G702/Cma

To Owner: City of Rock Island  
1528 Third St  
Rock Island, IL 61201

Project: 15-22-013 Rock Island Pump Station  
24th Street and IL Route 92  
Rock Island, IL 61201

Application No.: 4

Distribution to:

Owner

Architect

Contractor

Construction Manager

From Contractor: Otto Baum Company, Inc.  
866 N. Main Street  
Morton, IL 61550

VIA Construction Manager:  
Via Architect: Shive-Hattery, Inc.  
1701 River Drive  
Moline IL 61265

Period To: 9/30/2016

Project Nos:

Contract Date:

Contract For:

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment as shown below, in connection with the Contract Continuation Sheet AOA Document G703, is attached.

1. Original Contract Sum .....	\$77,005.00
2. Net Change By Change Order .....	\$49,160.76
3. Contract Sum To Date .....	\$126,165.76
4. Total Completed and Stored To Date .....	\$126,165.76
5. Retainage:	
a. 10.00% of Completed Work	\$12,616.58
b. 0.00% of Stored Material	\$0.00
Total Retainage .....	\$12,616.58
6. Total Earned Less Retainage .....	\$113,549.18
7. Less Previous Certificates For Payments .....	\$72,682.65
8. Current Payment Due .....	\$40,866.53
9. Balance To Finish, Including Retainage .....	\$12,616.58

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief, the work covered by this Application for Payment has been completed in accordance with the Contract Documents. That all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Otto Baum Company, Inc.

By: \_\_\_\_\_ Date: 9/30/2016

State of: Illinois  
Subscribed and sworn to before me this 30th day of September, 2016  
Notary Public:  
My Commission expires:

### CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on onsite observations and the data comprising this application, the Construction Manager and Architect certify to the Owner that to the best of their knowledge, information and belief the Work has progressed as indicated the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to the payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 40,866.53

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

CONSTRUCTION MANAGER:

By: \_\_\_\_\_ Date: \_\_\_\_\_

Architect:

By: \_\_\_\_\_ Date: \_\_\_\_\_

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

CHANGE ORDER SUMMARY	Additions	Deductions
Total changes approved in previous months by Owner	\$28,044.00	\$0.00
Total Approved this Month	\$21,116.76	\$0.00
TOTALS	\$49,160.76	\$0.00
Net Changes By Change Order	\$49,160.76	

# CONTINUATION SHEET

AIA DOCUMENT G703

Page 2 of 2

Application and Certification for Payment, containing

Contractor's signed certification is attached

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

Application No. : 4

Application Date : 09/30/16

To: 09/30/16

Architect's Project No.:

Contract : 15-22-013 Rock Island Pump Station

A Item No.	B Description of Work	C Scheduled Value	E Work Completed		F Materials Presently Stored  (Not in D or E)	G Total Completed and Stored To Date  (D+E+F)	H % (G / C)	I Balance To Finish (C-G)	Retainage
			D From Previous Application (D+E)	This Period In Place					
100	Rock Island Pumping Station Masonry Repairs	18,850.00	16,965.00	1,885.00	0.00	18,850.00	100.00%	0.00	1,885.00
200	Alt. #1	8,060.00	7,254.00	806.00	0.00	8,060.00	100.00%	0.00	806.00
300	Alt. #2	23,095.00	20,785.50	2,309.50	0.00	23,095.00	100.00%	0.00	2,309.50
400	Alt. #3	7,485.00	2,245.50	5,239.50	0.00	7,485.00	100.00%	0.00	748.50
500	Alt. #4	18,215.00	5,464.50	12,750.50	0.00	18,215.00	100.00%	0.00	1,821.50
600	Alt. #5	1,300.00	0.00	1,300.00	0.00	1,300.00	100.00%	0.00	130.00
C/O #1	Temp. Generator	28,044.00	28,044.00	0.00	0.00	28,044.00	100.00%	0.00	2,804.40
C/O #2	Generator & Fuel / Addl. Bricks	21,116.76	0.00	21,116.76	0.00	21,116.76	100.00%	0.00	2,111.68
<b>Grand Totals</b>		<b>126,165.76</b>	<b>80,758.50</b>	<b>45,407.26</b>	<b>0.00</b>	<b>126,165.76</b>	<b>100.00%</b>	<b>0.00</b>	<b>12,616.58</b>