



Contractor Application For Responsible Bidder Requirements (City Code Chapter 13):

The City of Rock Island, Illinois will, to the extent permitted by law, maintain and treat all of the contractor's information as confidential and for use only by the City or other governmental agencies entitled by law or by agreement to use such information. Contractor and Bidder references are used interchangeably within this document.

In selected contracts, additional responsibility factors may be established or waived by the City of Rock Island, Illinois per instructions included in the proposal documents. In such contracts, the manner of determining bidder responsibility will be stated in the proposal documents. Contracts in which such waiver may be made include, but are not limited to, contracts that require specialized skills not covered by available work categories, contracts for furnished manufactured products or contracts in which a waiver is necessary to achieve sufficient competition. However, contractors must still obtain an Illinois Certificate Of Authority To Transact Business, an Illinois Department of Human Rights identification number or otherwise provide evidence of compliance as an Equal Opportunity Employer, and provide all items as specified in the proposal as part of the contract with regard to the City of Rock Island, Illinois Responsible Bidder Ordinance (City Code Chapter 13). Prior to awarding any contracts, the City will review the bidder's status to ensure the company nor any individuals representing the company have not been disbarred, suspended or otherwise excluded from doing business with the United States Federal government.

It shall be the sole responsibility of the contractor/bidder to comply with all the submission requirements at the time it submits its bid to the City of Rock Island.

Instructions

Each item must be answered. Whenever a particular item does not apply, write "none" or "n/a" (not applicable). Please complete electronically in Microsoft Word, use typewriter or print legibly in dark ink when preparing the application. If additional space is needed, attach a separate sheet or sheets.

Submit the required document or documents with project bid proposal.

CONTRACTOR / BIDDER INFORMATION

Business Name of Bidder _____

Contractor Number _____

Business Address (Street or P.O. Box) _____

City _____ State _____ Zip Code _____

Telephone () _____ Telefax No. () _____

E-Mail Address _____

Illinois Office Address _____
City _____ Zip Code _____

Illinois Telephone No. () _____

F.E.I.N. No. _____ I.D.H.R. No. _____ IDHR No. Expires _____
(Otherwise SSN above for individual) (Otherwise Attach EEO Compliance Evidence)

For the current calendar year (January 1 to December 31), Contractor/Bidder applications may be submitted prior to a project bid and kept on-file with the City of Rock Island. Contractor/Bidder may submit the above "Contractor/Bidder Information" completed for this single page (Page RBO-1 of RBO-16) for attachment to a project proposal including a marked box below signifying compliance. If subcontractors are different than original submission on-file, Contractor may provide and return both this completed page and also Page RBO-12 of RBO-16 with the proposal documents.

Check-Mark Within Box To The Left Signifies Contractor Application Is Already On-File For Current Year.

Contractor Application For Responsible Bidder Ordinance Requirements

1. Pursuant to Section 13.05 of the Business Corporation Act of 1983 [805 ILCS 5/13.05], contractors are required to secure a certificate from the Illinois Secretary of State authorizing them to do business in Illinois. All contractors are required to be authorized to transact business or conduct affairs in Illinois prior to submission of a bid [30 ILCS 500/20-43]. Application forms can be obtained from the following website:
http://www.cyberdriveillinois.com/departments/business_services/home.html.

Has the authorized representative responsible for the completion of the Rock Island checklist items attached a copy of the certificate of authority to conduct business in Illinois; plus provided an IDHR number on the first page or otherwise attached the required evidence of compliance with being an Equal Opportunity Employer to the last page of this document?

Yes No

2. What is the form of business organization of the Applicant?

Sole Proprietorship Partnership
 Corporation LLC

3. How many years has the Applicant been in business under the business name? List any prior name or assumed name and years in business.

4. Is the Applicant an outgrowth, result or reorganization of a predecessor business? Yes No
If yes, list the name and address of each predecessor business and indicate whether any is still in business.

5. Will an assumed name be used for bidding purposes? If so, indicate the name.

6. If the Applicant is a corporation, indicate the state or commonwealth and the date of incorporation.

- 6a. Indicate whether the Applicant is a parent or subsidiary corporation and the name and address of each such related company.

- 6b. Indicate whether the Applicant has related parties and the name and address of each such related company.

6c. Indicate whether any of the related companies listed are engaged in similar or related business as that of the Applicant.

6d. Indicate whether the Applicant's owners have ownership in other prequalified construction companies and the name and address of each such owned company.

7. If not a corporation, does the Applicant conduct its business in connection with any other company or firm?

Yes No

If yes, indicate the business name and address of each such related company.

8. Is the Applicant a Disadvantaged Business Enterprise certified by any recipient of federal funds provided by any Administration of the United States Department of Transportation? Yes No

If yes, indicate the certifying recipient.

9. Illinois Administrative Code, Title 44, Subtitle B, Chapter IX, Part 650, Section 650.110 of the rules for Prequalification of Contractors used by Illinois Department of Transportation lists reasons for denial of prequalification ratings. Does the Applicant have any information or knowledge relevant to any of the listed reasons that has not been disclosed otherwise with this application that might deny this Contractor a prequalification rating? Yes No

If yes, indicate this information.

10. List the name of each owner, shareholder, partner, member, beneficiary or any other person expected to have a direct pecuniary interest in a contract awarded by the City of Rock Island, Illinois who holds an elective office in the City of Rock Island, Illinois; who is appointed to or employed in any office or agency of City of Rock Island, Illinois government; or who is the spouse or minor child of any such person and explain.

11. Does the Contractor possess all permits or licenses to operate equipment? Yes No
If no, explain fully.

12. Has the Contractor attached copies of all certificates of insurance indicating coverages for general liability, workers' compensation, automobile, hazardous occupation, product liability and professional liability? Yes No

If no, explain fully.

13. Has the Contractor attached proof of participation in apprenticeship and training programs applicable to the work to be performed on the project which are approved and registered with the United States Department of Labor's Office of Apprenticeship and Training? The required evidence must include a copy of all applicable apprenticeship standards and agreements for any apprentice(s) who will perform work on the public works project. Yes No

If no, explain fully.

14. Has the Contractor attached evidence for compliance with all the provisions of the Illinois Prevailing Wage Act, including wages, medical and hospitalization insurance and retirement for those trades as covered in the Act? Yes No

If no, explain fully.

15. Does the Contractor and Officers of the Contractor have any tax liens or delinquencies in the last five (5) years? Yes No

If yes, explain fully.

16. Have all the Contractor's employees, who will be expected to perform work on the project, completed a 10-hour or greater OSHA safety program within the last five (5) years? Only workers that have satisfactorily completed a 10-hour or greater OSHA safety program will be allowed to participate on the project. The Contractor must have copies of employee OSHA cards on file, and the City may request the bidder provide copies of employees' OSHA cards; and the failure to produce an employee's OSHA card when requested may result in a determination that the bidder is not a responsible bidder. Yes No

If no, explain fully.

17. Has the Contractor attached information that demonstrates the efforts taken to employ local workers with strong consideration given to employing residents of the City of Rock Island?

Yes No

If no, explain fully.

18. Has the Contractor attached a written record of compliance with State of Illinois DBE requirements for public works projects, including the hiring of women and minority workers to perform the contracted work?

Yes No

If no, explain fully.

19. Does the Contractor have additional attachments or would otherwise like to comment on any other factors which are relevant to the determination of their ability to carry out the requirements of the project in accordance with what may be expected or demanded under the terms of the contract?

Yes No

As needed, provide comment below or otherwise note below the attachments being provided with this document.

20. Is the Contractor barred from bidding on this contract as a result of a violation of the Illinois Compiled Statutes for: 720 ILCS 5/Art. 33E for Public Contracts on bid rigging or bid rotating?

Yes No

If yes, explain fully.

21. Pursuant to the Substance Abuse Prevention on Public Works Act, Public Act 95-0635, that prohibits the use of drugs and alcohol, as defined in the Act, by employees of the Contractor and by employees of all approved Subcontractors while performing work on a public works project. The Contractor/Subcontractor herewith is certifying that it has a superseding collective bargaining agreement or is providing the attachment of its written substance abuse prevention program for the prevention of substance abuse among its employees who are not covered by a collective bargaining agreement dealing with the subject as mandated by the Act.

Only workers that are enrolled and tested in company-based controlled substance and alcohol testing programs will be allowed to participate on the project.

Have the Contractor, and all Subcontractors he/she intends to hire on any part of this project, provided an attachment of their written substance abuse prevention program that meets or exceeds the requirements of Public Act 95-0635; or otherwise is a contracting entity with superseding collective bargaining agreements that deal with the subject matter of Public Act 95-0635?

Yes No

If no, explain fully.

22. Is the Contractor's bid using the form provided as titled: Schedule of Prices? Yes No

If no, explain fully.

23. Is the bid proposal document annotated with any addendum required and signed in ink by the proper representative of the Contractor for the document titled: Proposal? Yes No

If no, explain fully.

24. Is the proper bid security included with the proposal? The Bidder has the option of providing either a Certified Check or Bid Bond for the percentage required in accordance with the special provisions. Yes No

If no, explain fully.

Experience

Check the work categories the contractor desires for review of qualifications. See Appendix A of the rules for IDOT Prequalification for definitions. For each category of work checked, indicate the dollar amount of work performed (1,000's) by the contractor's own forces. **Do not include work performed by subcontractors.** New applications should list work for the previous three (3) fiscal years if available. Renewal applications should list work for the previous fiscal year only.

Available Work Categories	Year:	Year:	Year:
<input type="checkbox"/> 1 Earthwork	_____	_____	_____
<input type="checkbox"/> 2 Portland Cement Concrete Paving	_____	_____	_____
<input type="checkbox"/> 3 HMA Plant Mix	_____	_____	_____
<input type="checkbox"/> 5 HMA Paving	_____	_____	_____
<input type="checkbox"/> 6 Cleaning and Sealing Cracks & Joints	_____	_____	_____
<input type="checkbox"/> 7 Soil Stabilization and Modification	_____	_____	_____
<input type="checkbox"/> 8 Aggregate Bases and Surfaces (<input type="checkbox"/> A, <input type="checkbox"/> B)	_____	_____	_____
<input type="checkbox"/> 9 Structures (<input type="checkbox"/> Highway, <input type="checkbox"/> Railroad, <input type="checkbox"/> Waterway)	_____	_____	_____
<input type="checkbox"/> 10 Structures Repair	_____	_____	_____
<input type="checkbox"/> 11 Anchors and Tiebacks	_____	_____	_____
<input type="checkbox"/> 12 Drainage	_____	_____	_____
<input type="checkbox"/> 13 Drainage Cleaning	_____	_____	_____
<input type="checkbox"/> 14 Electrical	_____	_____	_____
<input type="checkbox"/> 15 Cover and Seal Coats (<input type="checkbox"/> A, <input type="checkbox"/> B)	_____	_____	_____
<input type="checkbox"/> 16 Slurry Applications	_____	_____	_____
<input type="checkbox"/> 17 Concrete Construction	_____	_____	_____
<input type="checkbox"/> 18 Landscaping	_____	_____	_____
<input type="checkbox"/> 19 Seeding and Sodding	_____	_____	_____
<input type="checkbox"/> 20 Vegetation Spraying	_____	_____	_____
<input type="checkbox"/> 21 Tree Trimming and Selective Tree Removal	_____	_____	_____
<input type="checkbox"/> 22 Fencing	_____	_____	_____

<input type="checkbox"/>	23	Guardrail	_____	_____	_____
<input type="checkbox"/>	24	Grouting	_____	_____	_____
<input type="checkbox"/>	25	Painting & Cleaning	_____	_____	_____
<input type="checkbox"/>	26	Signing	_____	_____	_____
<input type="checkbox"/>	27	Pavement Markings (Paint)(A)	_____	_____	_____
<input type="checkbox"/>	27	Pavement Markings (Thermo)(B)	_____	_____	_____
<input type="checkbox"/>	27	Pavement Markings (Epoxy)(C)	_____	_____	_____
<input type="checkbox"/>	27	Pavement Markings (Polyurea)(D)	_____	_____	_____
<input type="checkbox"/>	27	Pavement Markings (Modified Urethane)(E)	_____	_____	_____
<input type="checkbox"/>	30	Installation of Raised Pavement Markers	_____	_____	_____
<input type="checkbox"/>	31	Pavement Texturing and Surface Removal	_____	_____	_____
<input type="checkbox"/>	32	Cold Milling, Planing and Rotomilling	_____	_____	_____
<input type="checkbox"/>	33	Erection	_____	_____	_____
<input type="checkbox"/>	34	Demolition	_____	_____	_____
<input type="checkbox"/>	35	Fabrication	_____	_____	_____
<input type="checkbox"/>	36	Tunnel Excavation	_____	_____	_____
<input type="checkbox"/>	37	Expressway Cleaning	_____	_____	_____
<input type="checkbox"/>	38	Railroad (Track) Construction	_____	_____	_____
<input type="checkbox"/>	39	Marine Construction	_____	_____	_____
<input type="checkbox"/>	40	Hydraulic Dredging	_____	_____	_____
<input type="checkbox"/>	41	Hot (in-place) Recycling	_____	_____	_____
<input type="checkbox"/>	42	Cold (in-place) Recycling	_____	_____	_____
		(a) Total of the above	_____	_____	_____
		(b) Amount of sublet	_____	_____	_____
		(c) Total annual volume of work	_____	_____	_____

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Record of Past Experience

New Applications - List major projects performed by the contractor's own forces for the previous three (3) fiscal years, including Federal, State, County, City and private work. The total dollar amounts and work category dollar amounts must be listed for each project. **Do not include work performed by subcontractors.**

Renewal Applications - List major projects performed by the contractor's own forces for the previous fiscal year, including Federal, State, County, City and private work. The total dollar amounts and work category dollar amounts must be listed for each project. **Do not include work performed by subcontractors.**

Please see Appendix "A" of the rules for prequalification to determine the appropriate category for completed work.

Name, Address and Phone Number of Reference	Year	Total in (1000's) (\$)	Earth- work (\$)	PCC Paving (\$)	HMA Plant Mix (\$)	Land- scaping (\$)	Agg Bases & Surf. (\$)	Str (\$)	Drain (\$)	Elect (\$)	Conc. Const. (\$)	_____ (\$)
Sub-Total												
Sub-Total (Page RBO-10 If Needed)												
Total(s)												

Record of Past Experience

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Sub-Total												

AFFIDAVIT FOR CORPORATION

STATE OF _____

ss.

County of _____

_____ being duly sworn, deposes and says:

That they are the President and Secretary of _____ the corporation described in and which executed the foregoing statement; that the foregoing statement of experience and all statements or attached documentation as evidence of compliance therein contained are true and correct and that they are familiar with said firm's experience and compliance with city ordinance as of the date thereof and that the answers to the foregoing interrogatories are true; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitter a contract; and that any depository, vendor or other agency herein named is hereby authorized to supply such party with any information necessary to verify this statement. These signatories further agree to abide by the rules and regulations of the City of Rock Island, Illinois relative to the submission of bids and execution of contracts.

Subscribed and sworn to before me this _____ day of _____

President must sign here

Notary Public

Secretary must sign here

My Commission expires _____

(NOTARY SEAL)

(CORPORATE SEAL)

If a corporation, the full corporate name must be used and the exaction must be by the president and secretary, and the corporate seal affixed. If the corporation does not have a seal, the words "No Seal" should be added. Certified copy of action of board of directors authorizing such officers to execute the affidavit on behalf of the corporation may be required, and will be required if not executed by the two officers above named.

The following officers and others are authorized to execute contracts binding to the corporation.

AFFIDAVIT FOR LIMITED LIABILITY COMPANY (LLC)

STATE OF _____
County of _____ ss.

The undersigned being duly sworn, depose and state:

That they are members/managers (select one) of the firm of _____, that the foregoing statement of experience and all statements or attached documentation as evidence of compliance therein contained are true and correct and that they are familiar with said firm's experience and compliance with city ordinance as of the date thereof and that the answers to the foregoing interrogatories are true; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitter a contract; and that any depository, vendor or other agency herein named is hereby authorized to supply such party with any information necessary to verify this statement. These signatories further agree to abide by the rules and regulations of the City of Rock Island, Illinois relative to the submission of bids and execution of contracts.

That the following persons are authorized to execute contracts binding to the company.

Subscribed and sworn to before me this _____ day of _____

All members/managers must sign

Notary Public

My commission expires _____

(NOTARY SEAL)

A certified copy of the action of the members authorizing such managers to execute this affidavit on behalf of the company may be required.

Attach all copies of supporting documentation as noted earlier in this document:

Examples of supporting documentation as a responsible bidder, or as required with a project proposal for attachment, might include the following:

- 1) Certificate of authority to transact business in Illinois
- 2) If an IDHR number has not been acquired, the evidence of compliance with being an Equal Opportunity Employer.
- 3) Copies of the certificates of insurance.
- 4) Copies for evidence of standards and agreements with any apprentice(s) who will perform work on the project, plus any evidence of an approved and registered apprenticeship and training program.
- 5) Copies for evidence of compliance with the Illinois Prevailing Wage Act, including wages, medical and hospitalization insurance and retirement for those trades as covered in the Act.
- 6) Copies of written program for the prevention of substance abuse.
- 7) Attachments demonstrating efforts to employ local workers.
- 8) Written record or evidence of compliance with Illinois DBE requirements.
- 9) Attachments demonstrating any additional factors which are relevant to the determination of the Contractor's ability to carry out the requirements of the project in accordance with what may be expected or demanded under the terms of the contract.
- 10) The bid prepared on or using form provided as titled: Schedule of Prices.
- 11) Completed bid proposal document that is signed in ink by the authorized representative for the document titled: Proposal.
- 12) Proper bid security included. The Bidder has the option of providing either a Certified Check or Bid Bond for the percentage required in accordance with these special provisions.